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Leading Humanity into the Carbon-Free Era

爱旭供应商行为准则

AIKO Supplier Code of Conduct

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1 指导原则 Guiding Principles

爱旭深信，负责任的供应链是引领人类社会进入零碳时代不可或缺的环节。本准则阐述了爱旭对所有供应商在公司治理、商业道德、劳工人权、职业健康安全及环境保护方面的基本要求，爱旭要求供应商在开展业务时不仅能遵守其运营所在国家/地区的法律法规还要严格遵守本准则。

AIKO firmly believes that a responsible supply chain is an indispensable link in leading human society into a zero-carbon era. This Code outlines AIKO's fundamental requirements for all suppliers regarding corporate governance, business ethics, labor and human rights, occupational health and safety, and environmental protection. AIKO requires suppliers to not only comply with the laws and regulations of the countries/regions in which they operate but also to strictly adhere to this Code in conducting their business.

本准则的制定参考了国际劳工组织（ILO）公约、联合国全球契约组织（UNGC）十项原则、《联合国工商企业与人权指导原则》等国际标准。

The formulation of this Code references international standards such as the International Labour Organization (ILO) Conventions, the United Nations Global Compact (UNGC) Ten Principles, and the UN Guiding Principles on Business and Human Rights.

2 适用范围 Scope of Application

本准则适用于上海爱旭新能源股份有限公司及其子公司（包括但不限于浙江爱旭太阳能科技有限公司、天津爱旭太阳能科技有限公司、广东爱旭科技有限公司、珠海富山爱旭太阳能科技有限公司、滁州爱旭太阳能科技有限公司、山东爱旭太阳能科技有限公司等，以下统称为"爱旭"）的所有（直接和间接）提供产品或服务的供应商以及其他合作伙伴（以下简称"供应商"）。同时，供应商有义务将本准则的要求传递至其上游供应商，并确保其整体业务活动符合本准则的要求。

This Code applies to all suppliers (direct and indirect) and other business partners (hereinafter collectively referred to as "Suppliers") providing products or services to Shanghai Aiko Solar Co., Ltd. and its subsidiaries (including but not limited to Zhejiang Aiko Solar Technology Co., Ltd., Tianjin Aiko Solar Technology Co., Ltd., Guangdong Aiko Solar Technology Co., Ltd., Zhuhai Fushan Aiko Solar Technology Co., Ltd., Chuzhou Aiko Solar Technology Co., Ltd., Shandong Aiko Solar Technology Co., Ltd., etc., collectively referred to as "AIKO"). Furthermore, Suppliers are obligated to communicate

the requirements of this Code to their upstream suppliers and ensure their overall business activities comply with this Code's requirements.

3 公司治理 Corporate Governance

3.1 管理体系 Management System

3.1.1 合法合规 Legal and Regulatory Compliance

供应商应建立符合与爱旭经营和产品相关的法律、法规及客户要求，注重公司治理，并确保管理体系合规以及促进体系的持续改进。

Suppliers shall establish and maintain management systems that comply with laws, regulations, and customer requirements relevant to AIKO's business and products. They shall focus on sound corporate governance and ensure the compliance and continuous improvement of these management systems.

3.1.2 管理层问责制 Management Accountability

供应商应指定代表负责实施管理体系和计划，以监督对适用法律和本准则的遵守情况。高级管理层应定期审查和评估管理体系和计划的质量和效率。

Suppliers shall appoint a designated representative responsible for implementing management systems and plans to oversee compliance with applicable laws and this Code. Senior management

shall regularly review and assess the quality and effectiveness of these systems and plans.

3.2 风险管理 Risk Management

供应商应建立流程以识别与其运营和劳工实践相关的环境、健康、安全和道德风险。此外，供应商应制定适当的流程以最大程度程度降低已识别的风险。

Suppliers shall establish processes to identify environmental, health, safety, and ethical risks associated with their operations and labor practices. Additionally, Suppliers shall implement appropriate processes to minimize identified risks to the greatest extent possible.

3.3 审计与尽职调查 Audits and Due Diligence

在互相尊重和良好沟通的前提下，供应商应配合爱旭或爱旭指定的第三方，对供应商及其上游供应商进行定期评估，包括爱旭年度供应商审核，以符合爱旭的监督机制。

Based on mutual respect and good communication, Suppliers shall cooperate with AIKO or its designated third parties in conducting regular assessments, including AIKO's annual supplier audits, to comply with AIKO's oversight mechanisms.

爱旭希望供应商能够定期对自身及其上游供应商的设施和运营进行尽职调查，根据风险和影响程序实施适当的缓解和补救措施，以确保遵守本准则和法律规定。

AIKO expects Suppliers to regularly conduct due diligence on their

own and their upstream suppliers' facilities and operations, implementing appropriate mitigation and remediation measures based on risk and impact assessments to ensure compliance with this Code and legal requirements.

3.4 利益相关方和社区参与 Stakeholder and Community Engagement

供应商应意识到，其经营活动将对个人、当地经济与社会发展乃至人类福祉产生直接或间接的影响。因此，供应商应致力于构建负责人的社区关系而努力，并以可持续的方式进行投资、运营等。尊重所在国家和社区，通过具有文化和社区价值的举措促进当地社区高质量发展。

Suppliers shall be aware that their business activities will have direct or indirect impacts on individuals, local economic and social development, and even human well-being. Therefore, Suppliers should commit to building responsible community relationships and conduct investments, operations, etc., in a sustainable manner. They shall respect the host countries and communities, promoting high-quality local community development through culturally and communally valuable initiatives.

3.5 信息披露 Information Disclosure

供应商必须完全按照适用法律的要求创建、保留和处置其商业活动、劳工人权、健康安全以及环境等相关的业务记录，并按照相关方要求如实披露此类信息，不

得伪造或歪曲。

Suppliers must create, retain, and dispose of business records related to their commercial activities, labor and human rights, health and safety, and the environment strictly in accordance with applicable legal requirements. They must truthfully disclose such information as required by relevant parties and shall not falsify or misrepresent it.

3.6 申诉机制 Grievance Mechanism

供应商应为员工提供顺畅、安全的匿名投诉或申诉机制，用于举报在工作中发现的违反本规范的行为。供应商应依法依规客观调查、公平处理举报信息，并对举报信息提供反馈，同时依据相关规定保护举报人免受报复，确保举报行为不会遭受威胁、恐吓、骚扰等不公平的对待，并对于打击报复行为持"零容忍"态度。

Suppliers shall provide employees with accessible and safe anonymous reporting or grievance channels for reporting violations of this Code discovered during work. Suppliers shall investigate reported information objectively and handle it fairly in accordance with laws and regulations, providing feedback to the reporter. Suppliers must also protect reporters from retaliation according to relevant regulations, ensuring they do not suffer threats, intimidation, harassment, or other unfair treatment due to reporting, and maintain a "zero-tolerance" attitude towards retaliation.

4 劳工人权 Labor and Human Rights

供应商应确保遵守所在国或区域内所有适用的劳动和人权法律及国际公约，并确保不从事或容忍任何形式的违反劳动用工法律法规的行为。

Suppliers shall ensure compliance with all applicable labor and human rights laws and international conventions in the countries or regions where they operate and shall ensure they do not engage in or tolerate any form of violation of labor employment laws and regulations.

4.1 禁止使用童工 Prohibition of Child Labor

不得以任何形式雇佣或使用童工，符合国际劳工组织相关公约要求（第 138 号公约和第 182 号公约）。供应商所雇佣的员工必须满足其所适用的法定最低年龄要求。如果发现童工，应提供援助\补救计划。

Child labor shall not be employed or used in any form, consistent with the requirements of relevant ILO Conventions (Convention No. 138 and No. 182). Employees hired by Suppliers must meet the applicable legal minimum age requirements. If child labor is discovered, assistance/remediation plans shall be provided.

4.2 保护未成年工人 Protection of Young Workers

供应商可以雇佣超过适用的法定最低年龄但未满 18 岁的未成年人，前提是相关雇佣行为符合国际劳工组织公约（第 138 号公约）和适用的当地法律法规的要

求，且应按照适用的法律法规要求保护未成年工，严禁使其从事可能危害健康、安全的作业，包括加班及夜班。

Suppliers may hire young workers who are above the applicable legal minimum age but under 18 years old, provided such employment complies with ILO Convention No. 138 and applicable local laws and regulations. Young workers must be protected in accordance with applicable laws and regulations and must not be assigned work that could jeopardize their health or safety, including overtime and night shifts.

4.3 禁止强迫劳动 Prohibition of Forced Labor

供应商不得使用任何类型的强迫劳动，包括但不限于债务劳动或契约劳工、监狱劳工、奴役或人口贩卖。供应商不得通过威胁、武力、胁迫、绑架或欺诈等方式贩运员工或以任何其他方式剥削员工。工作须为自愿，在进行合理通知的情况下，员工应可自由离职、终止雇佣关系或其他工作状态。供应商不得要求员工支付与其就业相关的招聘、雇用或其他类似费用；供应商必须承担或向其员工偿还任何此类费用。向员工收取的所有费用和开支必须向爱旭披露，并在雇用前以员工的母语向其告知。爱旭期望供应商的第三方劳务代理或经纪人遵守本供应商准则所涵盖的标准和做法。

Suppliers shall not use any type of forced labor, including but not limited to debt bondage or indentured labor, prison labor, slavery, or human trafficking. Suppliers shall not traffic employees or exploit

them in any other way through threats, force, coercion, abduction, or fraud. Work must be voluntary, and employees should be free to leave employment or terminate their work status with reasonable notice. Suppliers shall not require employees to pay recruitment, hiring, or other similar fees related to their employment; Suppliers must bear or reimburse their employees for any such fees. All fees and costs charged to employees must be disclosed to AIKO and communicated to employees in their native language before employment. AIKO expects Suppliers' third-party labor agencies or brokers to adhere to the standards and practices covered by this Supplier Code.

供应商不得要求员工交出政府签发的身份证件、护照或工作许可证作为工作条件，供应商只能在完成合法的行政和移民程序所需的合理范围内暂时保留此类文件。供应商必须以员工能够理解的语言，向其提供载明聘用条件且表述清晰易懂的合同。供应商必须确保其人员配备或招聘机构遵守本供应商行为准则以及工作所在国更为严格的适用法律。

Suppliers shall not require employees to surrender government-issued identification, passports, or work permits as a condition of employment. Suppliers may only retain such documents temporarily to the extent reasonably necessary to complete legitimate administrative and immigration procedures. Suppliers must provide employees with a clear and understandable contract outlining

employment terms in a language the employee understands. Suppliers must ensure their staffing or recruitment agencies comply with this Supplier Code of Conduct and the stricter applicable laws of the country where work is performed.

4.4 反歧视 Non-Discrimination

供应商不得在求职、晋升、工作分配、培训、工资、福利和解雇等招聘和工作实践中，针对种族、肤色、国籍、性别、性取向、宗教、身心残障、年龄、民族、工会成员身份、政治观点、怀孕状况、婚姻或家庭状况或类似因素进行歧视。供应商不得对员工或潜在员工进行可能的歧视性医疗测试或体检。

Suppliers shall not discriminate in recruitment and employment practices such as applications, promotions, work assignments, training, wages, benefits, and dismissals based on race, color, nationality, gender, sexual orientation, religion, physical or mental disability, age, ethnicity, union membership, political opinion, pregnancy status, marital or family status, or similar factors. Suppliers shall not subject employees or potential employees to potentially discriminatory medical tests or physical examinations.

供应商应保障员工在用工范围内的平等权利，包括结社自由、参与集体谈判的合法权利；尊重和保护弱势群体，如妇女和儿童、残障人员、原住民、移民工人以及家庭、少数民族等。

Suppliers shall safeguard employees' equal rights within the scope of

employment, including the right to freedom of association and the legal right to participate in collective bargaining. They shall respect and protect vulnerable groups such as women and children, persons with disabilities, indigenous peoples, migrant workers, families, and ethnic minorities.

4.5 反骚扰与虐待 Anti-Harassment and Abuse

供应商应杜绝工作场所中的骚扰和虐待行为，不得威胁工人或使其受到严酷或不人道的对待，包括但不限于口头虐待和骚扰、心理骚扰、精神和身体压迫以及性骚扰，不得非法搜身，亦不得威胁实施此类行为。

Suppliers shall eliminate harassment and abusive conduct in the workplace. Workers shall not be threatened or subjected to harsh or inhumane treatment, including but not limited to verbal abuse and harassment, psychological harassment, mental and physical coercion, and sexual harassment. Illegal body searches shall not be conducted, nor shall such conduct be threatened.

4.6 使用安保力量 Use of Security Forces

供应商不得使用会导致酷刑、不人道或侮辱性待遇、身体伤害或限制结社自由的私人或公共安保力量。供应商应确保所有安保人员（包括合同安保人员）尊重所有人的人权和尊严，并在受到威胁时使用不超过相称威胁程度的合理武力。

Suppliers shall not use private or public security forces that result in

torture, inhuman or degrading treatment, bodily harm, or restrictions on freedom of association. Suppliers shall ensure all security personnel (including contracted security) respect the human rights and dignity of all individuals and use reasonable force not exceeding a proportionate response when threatened.

4.7 结社自由与集体谈判 Freedom of Association and Collective Bargaining

供应商应尊重员工的集会和结社自由、组建并参加工会和进行集体谈判的权利，员工不得因非暴力行为使其加入或不加入此类合法组织的权利，而受到处罚或受到骚扰或恐吓。

Suppliers shall respect employees' rights to freedom of assembly and association, to form and join trade unions, and to bargain collectively. Employees shall not be penalized, harassed, or intimidated for their non-violent exercise of the right to join or not join such lawful organizations.

4.8 工作时间 Working Hours

供应商必须遵守国家和/或地方劳动法律规定的正常工作时间和加班时间，在任何情况下，工作时间都不得超过法律允许的最长时间，并保障员工自愿加班及休息休假的权利。

Suppliers must comply with statutory regular and overtime working

hours as stipulated by national and/or local labor laws. In no case shall working hours exceed the maximum permitted by law. Suppliers shall protect employees' rights to voluntary overtime, rest, and leave.

4.9 工资福利 Wages and Benefits

供应商须确保遵守其业务所在国家或地区关于薪酬、工时及福利的所有适用法律法规。供应商应向员工提供合理薪酬（含加班费）、福利待遇、社会保险及公积金、年假及其他法定节假日，并建立保障机制。供应商应向员工明确告知薪资结构及支付周期。供应商支付给员工的工资不得低于当地法定最低工资标准。加班费应按企业所在国家或地区的劳动法规标准支付，供应商不得扣发或拖欠员工工资。

Suppliers must ensure compliance with all applicable laws and regulations regarding compensation, working hours, and benefits in the country or region where they operate. Suppliers shall provide employees with reasonable compensation (including overtime pay), benefits, social insurance and housing funds, annual leave, and other statutory holidays, and establish safeguard mechanisms. Suppliers shall clearly inform employees of their wage structure and pay cycle. Wages paid to employees shall not be lower than the local statutory minimum wage. Overtime pay shall be compensated at the rate stipulated by labor laws and regulations in the country or region where the enterprise operates. Suppliers shall not withhold or delay

payment of wages.

4.10 移民合规 Immigration Compliance

供应商只能雇用拥有合法工作权利的员工。如果雇用外国或移民员工，则供应商必须确保该雇用行为完全符合工作所在国的移民以及劳动法律法规。

Suppliers shall only employ workers with legal rights to work. If foreign or migrant workers are employed, Suppliers must ensure such employment fully complies with the immigration and labor laws and regulations of the country where work is performed.

4.11 土著居民 Indigenous Peoples

供应商不得非法驱逐土著居民，不得占用土地和森林，也不得限制依赖这些资源的土著居民的用水，如需要开展可能影响土著居民正常生活的活动必须与所有受影响的各方进行协商。

Suppliers shall not illegally evict indigenous peoples, occupy land and forests, or restrict water access for indigenous peoples dependent on these resources. If activities potentially affecting the normal lives of indigenous peoples are to be carried out, consultation with all affected parties is mandatory.

5 职业健康与安全 Occupational Health and Safety

供应商应遵守其业务所在国或地区所有适用的职业健康和安全相关的法律、法规和标准，为员工提供健康、安全和可靠的工作条件和环境。

Suppliers shall comply with all applicable occupational health and safety-related laws, regulations, and standards in the country or region where they operate, providing employees with a healthy, safe, and reliable working environment and conditions.

5.1 职 业 健 康 与 安 全 管 理 Occupational Health and Safety Management

供应商必须对员工进行职业健康、安全规章制度方面的教育，并通过实施人身防护、屏障和/或工程和行政管理以避免员工暴露于潜在的人身安全危险。如果员工将在有害或危险的条件下，或有害材料的环境下工作（或以其他方式让员工暴露于有害或危险的工作环境中），供应商必须提前通知员工并提供适当的教育。此外，供应商必须为员工提供适当的个人防护设备，并就如何正确使用此类设备进行教育和培训。供应商必须管理、跟踪和报告职业病和工伤事故情况。

Suppliers must educate employees on occupational health and safety rules and regulations and prevent employee exposure to potential physical safety hazards by implementing personal protection, barriers, and/or engineering and administrative controls. If employees will work under harmful or dangerous conditions or in environments with hazardous materials (or otherwise be exposed to harmful or dangerous work environments), Suppliers must notify

employees in advance and provide appropriate education. Furthermore, Suppliers must provide employees with appropriate personal protective equipment and educate and train them on its proper use. Suppliers must manage, track, and report occupational illnesses and injury incidents.

5.2 健康和安全许可 Health and Safety Permits

供应商应获得且及时更新所有必要的健康和安全许可，并遵守其规定。

Suppliers shall obtain and timely renew all necessary health and safety permits and comply with their stipulations.

5.3 体力劳动 Physical Labor

供应商必须持续识别、评估和控制体力劳动，以确保员工的健康和安全不受危害。

Suppliers must continuously identify, assess, and control physical labor to ensure employees' health and safety are not jeopardized.

5.4 应急准备和响应 Emergency Preparedness and Response

供应商应识别并评估潜在的紧急情况。对于每一种情形，供应商都应制定并实施紧急预案和响应程序，最大程度地降低对生命、环境和财产造成的损害。供应商必须识别紧急情况并及时启动应急计划响应方案，包括紧急情况报告、警报系统部署、员工通知和疏散程序、定期组织员工开展培训和演习、确保急救用品、火灾探测和灭火设备完好有效以及出口通道畅通。

Suppliers shall identify and assess potential emergency situations. For each scenario, Suppliers shall develop and implement emergency plans and response procedures to minimize harm to life, the environment, and property. Suppliers must identify emergencies and promptly initiate emergency response plans, including emergency reporting, alarm system deployment, employee notification and evacuation procedures, regular employee training and drills, ensuring first-aid supplies, fire detection and suppression equipment are intact and effective, and exit routes are unobstructed.

5.5 传染病准备和应对 Infectious Disease Preparedness and Response

供应商应制定并实施传染病防控应急预案，计划，并按照合理的步骤做好各项准备工作，有效防范和应对其员工中可能出现传染病的情况。

Suppliers shall develop and implement infectious disease prevention, control, and emergency response plans, and take reasonable steps to prepare effectively for preventing and responding to potential outbreaks among their employees.

5.6 事故管理 Incident Management

供应商应设立制度供员工举报健康与安全事故和险兆事件，并调查、跟踪和管理此类报告。供应商必须实施改善行动计划降低风险，提供必要的治疗措施，协助员工顺利返岗。

Suppliers shall establish systems for employees to report health and safety incidents and near-misses, and investigate, track, and manage such reports. Suppliers must implement improvement action plans to reduce risks, provide necessary treatment measures, and assist employees in returning to work smoothly.

5.7 机器维护 Machinery Maintenance

供应商必须实施定期机械维护计划。生产和其他机械必须定期评估安全隐患。

Suppliers must implement regular mechanical maintenance programs. Production and other machinery must be regularly assessed for safety hazards.

5.8 卫生和住房 Sanitation and Housing

供应商必须为员工提供合理的清洁厕所设施和饮用水。如果供应商提供食堂等食物设施，则必须提供卫生的食品准备、储存和饮食设施。如果供应商为其员工提供住宿设施，则这些设施必须保持清洁和安全。在此类住宿设施中，供应商必须为员工提供紧急出口、合理和安全的个人空间、进出权限等必要的生活设施。

Suppliers must provide employees with reasonably clean toilet facilities and potable drinking water. If Suppliers provide food facilities such as canteens, they must provide sanitary food preparation, storage, and dining facilities. If Suppliers provide accommodation facilities for their employees, these facilities must be

kept clean and safe. In such accommodation, Suppliers must provide employees with necessary living facilities such as emergency exits, reasonable and safe personal space, and access permissions.

6 环境保护 Environmental Protection

供应商应建立并实施环境管理体系，确保业务实践符合环境责任要求。供应商必须遵守与环境保护相关的法律，积极承担环境责任，努力实施将供应链、生产过程及产品本身对环境的不利影响降至最低。

Suppliers shall establish and implement an environmental management system to ensure business practices meet environmental responsibility requirements. Suppliers must comply with laws related to environmental protection, actively assume environmental responsibility, and strive to minimize the adverse environmental impacts of their supply chain, production processes, and products.

6.1 环境许可和记录保存 Environmental Permits and Record Keeping

供应商应取得、妥善保管并及时更新其生产经营所必需的一切环保许可与批复，并严格遵守所有相关环境法律法规许可载明的运行条件，包括其中关于环境监测和环保报告的各项要求。

Suppliers shall obtain, properly maintain, and timely renew all

necessary environmental permits and approvals for their production and operations. They must strictly adhere to all operating conditions stipulated in relevant environmental laws, regulations, and permits, including requirements for environmental monitoring and reporting.

6.2 有害物质的有效管理和处置 Effective Management and Disposal of Hazardous Substances

供应商应有效地识别和管理对环境构成威胁的化学品和其他物质，确保其被安全处理、移动、储存和处置，包括为员工提供有关安全处理和处置有害物质的适当培训。供应商应在根据适用法律进行处置之前监测和控制运营中产生的废水或固体废物。此外，供应商必须根据适用法律在排放前描述、监测、控制和处理受管制的大气污染物。

Suppliers shall effectively identify and manage chemicals and other substances posing threats to the environment, ensuring they are safely handled, moved, stored, and disposed of, including providing employees with appropriate training on safe handling and disposal. Suppliers shall monitor and control wastewater or solid waste generated from their operations prior to disposal according to applicable law. Furthermore, Suppliers must characterize, monitor, control, and treat regulated air emissions prior to release in accordance with applicable law.

6.3 废物管理 Waste Management

供应商应建立系统化的废物管理体系，通过识别、分类与管理废物，并负责任地完成废物处置，尽可能减少其运营中产生的需要填埋的废物。

Suppliers shall establish a systematic waste management system to minimize landfill waste generated from their operations by identifying, classifying, and managing waste, and completing waste disposal responsibly.

6.4 水资源和废水管理 Water Resources and Wastewater Management

供应商应实施系统方法以识别、控制并减少运营中产生的废水，并开展总体用水性能日常监测，包括进水口以及废水处理系统的性能。

Suppliers shall implement a systematic approach to identify, control, and reduce wastewater generated from operations and conduct routine monitoring of overall water performance, including intake water and the performance of wastewater treatment systems.

6.5 废气排放管理 Air Emissions Management

供应商应以负责任的态度和科学的方式管理、控制、减少排放其运营中产生的、对环境有害的废气，供应商应对其废气排放控制系统的性能进行定期监测。

Suppliers shall manage, control, and reduce environmentally harmful air emissions from their operations in a responsible and scientific

manner. Suppliers shall conduct periodic monitoring of the performance of their air emission control systems.

6.6 温室气体排放管理 Greenhouse Gas (GHG) Emissions Management

供应商应以负责任的态度和科学的方式识别、管理、控制其运营过程中的温室气体(GHG)，定期量化温室气体排放情况并设定相应目标和监控落实进度，并通过节能、使用清洁能源或其他方法减少温室气体排放。

Suppliers shall identify, manage, and control GHG emissions from their operations in a responsible and scientific manner. They shall regularly quantify GHG emissions, set corresponding targets, monitor implementation progress, and reduce GHG emissions through energy conservation, use of clean energy, or other methods.

6.7 厂界噪声管理 Plant Boundary Noise Management

对于工厂产生的导致厂界噪声级别受到影响的噪声，供应商应予以识别、控制、监测和降低。

Suppliers shall identify, control, monitor, and reduce noise generated by the plant that affects noise levels at the plant boundary.

6.8 资源消耗管理 Resource Consumption Management

供应商应对化石燃料、水资源、有害物质和自然资源的使用情况进行定期量化，

并设定明确的节能降耗的目标，通过节能、重复利用、循环使用、替代能源或其他方法减少对此类资源的使用，并监控目标的实施进展。

Suppliers shall regularly quantify the usage of fossil fuels, water resources, hazardous substances, and natural resources. They shall set clear targets for energy conservation and consumption reduction, reduce the use of such resources through energy savings, reuse, recycling, alternative energy, or other methods, and monitor progress towards these targets.

6.9 生物多样性保护 Biodiversity Protection

在生产运营活动中，最大限度地减少或避免自身运营活动对生物多样性造成的任何实质性影响。

Suppliers shall minimize or avoid any material impact on biodiversity arising from their production and operational activities.

6.10 可持续采购 Sustainable Procurement

推动可持续采购，识别和减缓对社会及气候变化造成的负面影响。

Suppliers shall promote sustainable procurement, identifying and mitigating negative social and climate change impacts.

7 道德行为 Ethical Conduct

7.1 反贿赂、反腐败、反洗钱和反资助恐怖主义行为 Anti-Bribery, Anti-Corruption, Anti-Money Laundering, and Anti-Terrorism Financing

供应商必须遵守其运营所在国家或地区的反贿赂、反腐败、反洗钱及反逃税等所有适用的法律法规和国际公约，同时，供应商不得容忍其员工、第三方或中介机构以任何形式的腐败或贿赂行为，自身也不得参与任何腐败或贿赂活动。供应商需警惕防范在业务过程中可能被用于洗钱或恐怖主义融资的风险。

Suppliers must comply with all applicable laws, regulations, and international conventions regarding anti-bribery, anti-corruption, anti-money laundering, and anti-tax evasion in the countries or regions where they operate. Simultaneously, Suppliers shall not tolerate any form of corruption or bribery by their employees, third parties, or intermediaries, nor shall they themselves engage in any corrupt or bribery activities. Suppliers shall be vigilant against risks of their business processes being used for money laundering or terrorism financing.

7.2 反垄断与不正当竞争 Anti-Monopoly and Unfair Competition

供应商应通过公平竞争获取商业机会，不得采取垄断、欺诈、价格操纵或其他任何可能妨碍市场公平竞争的行为。

Suppliers shall obtain business opportunities through fair competition and shall not engage in monopolistic, fraudulent, price manipulation, or any other actions that may hinder fair market competition.

7.3 出口管制与制裁合规 Export Control and Sanctions Compliance

供应商应严格遵守其运营所在国家或地区适用的出口管制、经济制裁法律法规，关注法规变化，及时、动态调整公司相关合规管理政策，实现各业务环节的出口管制合规管理与有效监督。

Suppliers shall strictly comply with applicable export control and economic sanctions laws and regulations in the countries or regions where they operate. They shall monitor regulatory changes, promptly and dynamically adjust their company's relevant compliance management policies, and achieve effective export control compliance management and supervision across all business segments.

7.4 利益冲突 Conflicts of Interest

供应商应避免进行利益冲突交易，避免一切形式的利益冲突及相关不当行为，若发现实际或潜在的利益冲突，应当规范员工职责的界限。

Suppliers shall avoid transactions involving conflicts of interest and prevent all forms of conflicts of interest and related misconduct. If actual or potential conflicts of interest are identified, they shall define the boundaries of employee responsibilities.

7.5 尊重知识产权 Respect for Intellectual Property

供应商应遵守其业务所在国家或地区与知识产权相关的所有法律法规及国际公

约，确保相关知识产权仅在授权范围内使用。

Suppliers shall comply with all laws, regulations, and international conventions related to intellectual property in the countries or regions where they operate, ensuring relevant intellectual property is used only within authorized scopes.

7.6 信息安全与隐私保护 Information Security and Privacy Protection

供应商应关注信息安全，确保不发生重大网络安全事故；承诺尊重和保护业务相关方的个人信息，包括客户、供应商、员工及其他相关方，并确保个人信息处理活动符合个人对隐私的合理期望。在个人信息处理过程中（包括但不限于收集、存储、使用、传输和共享），供应商必须严格遵守所有适用的隐私保护和数据安全法律法规，采取适当措施确保个人信息的安全性和保密性，防止其未经授权被访问或滥用。

Suppliers shall pay attention to information security, ensuring no major cybersecurity incidents occur. They shall commit to respecting and protecting the personal information of business stakeholders, including customers, suppliers, employees, and other relevant parties, and ensure personal information processing activities align with reasonable expectations of privacy. During personal information processing (including but not limited to collection, storage, use, transmission, and sharing), Suppliers must strictly comply with all applicable privacy protection and data security laws and regulations,

taking appropriate measures to ensure the security and confidentiality of personal information and prevent its unauthorized access or misuse.

7.7 负责任采购 Responsible Procurement

供应商应确保其自身及其供应商不得采购或使用违反劳动保护原则生产的产品或原材料，并确保其向爱旭供应的产品和原材料不含任何不道德采购或生产的部件。

Suppliers shall ensure that they and their suppliers do not procure or use products or raw materials produced in violation of labor protection principles, and ensure that the products and raw materials they supply to AIKO do not contain any unethically procured or produced components.

供应商应确保其自身及其供应商遵守当地劳动保护和环境保护法律法规及国际公认的原则或标准，符合本准则要求，并通过内部规定识别、预防、缓解、终止或最小化对劳动保护和环境的不利影响。

Suppliers shall ensure that they and their suppliers comply with local labor protection and environmental protection laws and regulations, internationally recognized principles or standards, and the requirements of this Code. They shall identify, prevent, mitigate, terminate, or minimize adverse impacts on labor protection and the environment through internal regulations.

若供应商在供应链中发现上述任何警示信号不再有效，应立即通知爱旭，并同步开展核查，确认其交付的产品未包含违规采购或生产部件。

If Suppliers discover that any of the above warning signs are no longer effective within their supply chain, they shall immediately notify AIKO and simultaneously conduct verification to confirm that their delivered products do not contain components procured or produced in violation.

7.8 冲突矿产 Conflict Minerals

供应商须遵守与冲突矿产相关的法律法规，制定明确的政策和健全的流程，确保不从冲突国家、受冲突影响地区及高风险地区（CAHRA）采购、使用或销售钽、锡、钨、金、钴、云母、铜、铝、硅和石英等矿产（"冲突矿产"）。供应商应参照经合组织《受冲突影响及高风险地区矿产供应链责任尽职调查指南》、中国《矿产供应链尽职调查指南》等文件，对其含冲突矿产的产品供应链开展尽职调查，并根据爱旭要求提供《原产地证明》《无冲突矿产声明》，确保不存在"冲突矿产"。

Suppliers must comply with laws and regulations related to conflict minerals, establish clear policies and robust processes to ensure they do not source, use, or sell minerals such as tantalum, tin, tungsten, gold, cobalt, mica, copper, aluminum, silicon and quartz ("Conflict Minerals") from conflict zones, conflict-affected areas, and high-risk areas (CAHRA). Suppliers shall conduct due diligence on their product

supply chains containing conflict minerals with reference to documents such as the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas and the Chinese Due Diligence Guidelines for Mineral Supply Chains. They shall provide Certificates of Origin and Conflict-Free Minerals Declarations as required by AIKO, ensuring the absence of "Conflict Minerals".

7.9 供应链可追溯 Supply Chain Traceability

供应商应提供可追溯性审核、生产记录和系统演示等方面的相关生产信息，确保确保从原材料采购、入库、出库、生产到成品装运交付的整个过程记录真实、相关、完整。

Suppliers shall provide relevant production information such as traceability audit trails, production records, and system demonstrations, ensuring the entire process from raw material procurement, warehousing, outbound, production to finished product shipping and delivery is recorded truthfully, relevantly, and completely.

8 受理渠道 Reporting Channels

爱旭提供顺畅的沟通和申诉机制，以保障本政策的有效实施。爱旭的所有员工、

供应商、合作伙伴、客户及其他利益相关者，若怀疑或发现有违反本政策的行为，均可通过以下渠道以实名或匿名的方式上报进行申诉。爱旭承诺会依法依规、客观调查、公正处理所有申诉案件，并及时反馈结果。爱旭会根据相关规定保护申诉人和/或报告人免受报复，确保他们不因申诉和/或报告而受到威胁、恐吓、骚扰或任何其他形式的不公平待遇。

AIKO provides accessible communication and grievance channels to ensure the effective implementation of this policy. All AIKO employees, suppliers, partners, customers, and other stakeholders who suspect or discover violations of this policy can file a grievance through the following channels, either anonymously or by name. AIKO commits to investigating all grievance cases according to laws and regulations, objectively and impartially, and providing feedback in a timely manner. AIKO will protect complainants and/or reporters from retaliation in accordance with relevant regulations, ensuring they are not subjected to threats, intimidation, harassment, or any other form of unfair treatment due to filing a grievance and/or report.

- 电子信箱：Aikoprocurement@aikosolar.com
- Email: Aikoprocurement@aikosolar.com
- 邮寄地址：浙江省义乌市好派路 699 号研发楼-采购部（收）
- Mailing Address: Procurement Department, R&D Building, No. 699 Haopai Road, Yiwu City, Zhejiang Province, China (Receiver: Procurement Department)

9 修订、审阅机制 Revision and Review Mechanism

该政策由爱旭解释与修订，确保本政策的有效性和时效性，并根据公司实际运营情况与法律法规的变化，一般情况下修订或更新的周期为每年 1 次。本政策以中文和英文版本制备，若中英文版本不一致，以中文版本为准。

This policy shall be interpreted and revised by AIKO. To ensure its effectiveness and timeliness, and in response to changes in the company's actual operations and relevant laws and regulations, this policy will generally be revised or updated annually. This policy is prepared in both Chinese and English versions. In case of any discrepancy between the two versions, the Chinese version shall prevail.

10 纠正措施 Corrective Actions

供应商承诺遵守本《供应商准则》并接受爱旭及其指定第三方为验证合规性所进行的审查，审查形式包括但不限于现场检查工作和住宿设施、以及开展保密的员工访谈。供应商在审查过程中必须保持透明，并及时提供所需的设施访问权限、相关记录文件和必要的员工联系方式。对于违反本准则的供应商，爱旭有权要求供应商及时提供详细的整改行动计划并采取有效的纠正措施，爱旭将对整改工作的落实情况进行跟踪验证。对于任何严重违反本供应商准则（包括但不限于拒绝

接受爱旭审核）的供应商，爱旭保留单方面立即终止全部或部分业务合作的权利，且无需承担任何责任。

Suppliers commit to complying with this Supplier Code and accept reviews conducted by AIKO or its designated third parties to verify compliance. Review forms include but are not limited to on-site inspections of work and accommodation facilities and confidential employee interviews. Suppliers must maintain transparency during reviews and promptly provide required facility access, relevant records and documents, and necessary employee contact information. For Suppliers found in violation of this Code, AIKO reserves the right to require the Supplier to promptly provide a detailed corrective action plan and take effective corrective measures. AIKO will track and verify the implementation of corrective actions. AIKO reserves the right to unilaterally and immediately terminate all or part of the business cooperation with any Supplier found in serious violation of this Supplier Code (including but not limited to refusal to accept AIKO audits) without bearing any liability.

本供应商行为准则于 2025 年 10 月 1 日由爱旭签发。

This Supplier Code of Conduct is issued by Aiko on October 1, 2025.

供应商（签字/盖章）Supplier (Signature/Seal)：_____